

SATORI FINANCIAL LLC

❖ Fee-Only Financial and Investment Advising ❖

PRIVACY POLICY

SATORI FINANCIAL LLC is a professional service firm dedicated to providing independent and objective personal financial planning, tax and investment advisory services for individuals and families. In connection with the creation, review and monitoring of your financial information and/or tax returns, SATORI FINANCIAL collects certain non-public personal information. This policy outlines our collection, use and safekeeping of this information.

1. **In connection with the creation, review and updating of your financial plan and/or tax return, SATORI FINANCIAL collects certain non-public personal information about you,** including:
 - Information received from you on Client Profile data gathering sheets or other forms, such as your name, address, phone number, Social Security number, and information about your investments, other assets and general financial circumstances.
 - We may also collect information from consumer reporting information and government agencies.

2. **Confidential personal information about our clients or former clients is not disclosed to anyone, unless one of the following exceptions applies.**
 - We may disclose personal information to other financial service providers, such as mortgage brokers, securities broker-dealers, and insurance agents in creating, revising or monitoring your financial plan or to help resolve a client inquiry.
 - We may disclose personal information of clients receiving only tax preparation services with a specific written authorization form.
 - We may also disclose confidential personal information about you to non-affiliated third parties as required or permitted by law, for example, to cooperate with regulators or law enforcement authorities.

3. **A client's personal information is highly confidential and will not be sold to any third party.**

4. **We protect the confidentiality and security of your personal information.**
 - Our staff is instructed to maintain the confidentiality of your non-public personal information and to keep such information secure.
 - We maintain physical, electronic, and procedural safeguards to protect your confidential personal information.

5. **You can help protect your privacy.**
 - For your protection, we recommend that you do not provide your Social Security number, account information, user names or passwords to anyone without first ensuring that this information is required and will be secure.

6. **We'll keep you informed.**
 - As required by federal law, we will notify you of our privacy policy annually.
 - We reserve the right to modify this policy at any time, but will inform you promptly if there is any change.